

# Homonuclear Indor Spectroscopy as a Means of Simplifying and Analyzing Proton Magnetic Resonance Spectra of Peptides and as a Basis for Determining Secondary and Tertiary Conformations of Complex Peptides†

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**ABSTRACT:** Homonuclear indor (internuclear double-resonance) spectroscopy is used (a) to simplify the "conventional" proton magnetic resonance (pmr) spectra of polypeptides and (b) to identify coupled proton transitions even when proton transitions of individual amino acid residues obscure each other by overlap. Indor spectroscopy is shown to be superior to and more generally applicable than "conventional" pmr spectroscopy for studies of polypeptides. (Conventional or normal spectra refer to single resonance spectra or to double-resonance spectra obtained not by *sweeping*, but by *maintaining constant* the decoupling frequency.) Specifically, the following simplifications were achieved. (a) The side-chain proton region of the antibiotic gramicidin S-A (a decapeptide with  $C_2$  symmetry) was reduced from five amino acid residues in the normal pmr spectrum to the single residue valine in the indor spectra; (b) the  $C_\alpha$  proton region of the heptapeptide ring fragment of the antibiotic poly-

myxin B<sub>1</sub> was reduced from seven residues in the normal spectrum to the single residue leucine in the indor spectra; and (c) the amide aromatic proton region of the antibiotic tyrocidin A was reduced from ten residues in the normal spectrum to three residues in the indor spectra. Thus, indor spectra of both backbone and side-chain protons were obtained. The indor spectra of individual residues yielded coupling constants, even with nonfirst-order spin systems. Conformational energy maps, or even better, conformational energy calculations can be used, in conjunction with the coupling constants evaluated from the indor spectra, to calculate the torsional angles that define secondary (backbone) and tertiary (side-chain) structure of the individual amino acid residues. The overall conformation of a polypeptide is simply the sum of the secondary and tertiary structures of the constituent amino acid residues.

There has been considerable interest in recent years in the study of conformation, kinetics of hydrogen exchange, and self-aggregation of peptides by proton magnetic resonance (pmr) spectroscopy. The first step in the determination of the conformation of a peptide by nuclear magnetic resonance (nmr) is an analysis of the pmr spectrum (*e.g.*, Stern *et al.*, 1968). Usually the  $C_\beta$ ,  $C_\gamma$ , and  $C_\delta$  protons of the side chains can be assigned with reasonable certainty by comparison of the spectrum of the peptide to the spectra of the constituent amino acids if there are not too many overlapping resonances. The same procedure, however, cannot be used to assign the  $C_\alpha$  and amide protons whose chemical shifts seem much more dependent on secondary and tertiary conformation. Three principal techniques are used to assign the  $C_\alpha$  and amide proton chemical shifts: (a) double resonance; (b) temperature, solvent, and pH perturbation of the spectrum; (c) selective deuterium-hydrogen exchange. Spin decoupling (Stern *et al.*, 1968; Hoffman and Forsén, 1966), one form of double resonance, is the most important of these techniques so far in common use, but it suffers from the disadvantage that it produces a perturbation of only a few lines in a poly-

peptide pmr spectrum containing a large number of lines. This disadvantage can be overcome by employing another double resonance technique, homonuclear indor (internuclear double-resonance) spectroscopy (Baker, 1962; Sciacovelli *et al.*, 1970). An indor spectrum is a much simplified double-resonance spectrum since it contains *only* perturbed transitions and not all the transitions of the whole spectrum. We shall show that indor spectroscopy is not only a useful technique for assignment of backbone,  $C_\alpha$ , and amide proton chemical shifts, but is also ideal for obtaining basic stereochemical information about side-chain protons both in free amino acids and in amino acid residues of polypeptides.

Indor spectroscopy was first described by Baker (1962). Kowalewski (1969) has reviewed the application of indor spectroscopy (a) to the study of low sensitivity nuclear species such as natural abundance  $^{13}\text{C}$ , (b) to the construction of nuclear spin energy level diagrams, (c) to the determination of relative signs of coupling constants, and (d) to the revelation of the precise location of transition maxima when signals are obscured by quadrupolar broadening. To our knowledge there have been no applications of *homonuclear* indor spectroscopy to amino acids, peptides, or proteins, but Horsley and Sternlicht (1968) used *heteronuclear* indor spectroscopy to study coupling between  $^1\text{H}$  and  $^{13}\text{C}$  in amino acids and simple peptides.

In an indor experiment a single frequency  $f_1$ , which usually corresponds to a single transition, is observed (monitored), while the perturbing (decoupling) frequency  $f_2$  is swept through the selected range of the indor spectrum. Qualitatively, there are only three signals in an indor spectrum: negative, zero, and positive. A zero signal corresponds to the

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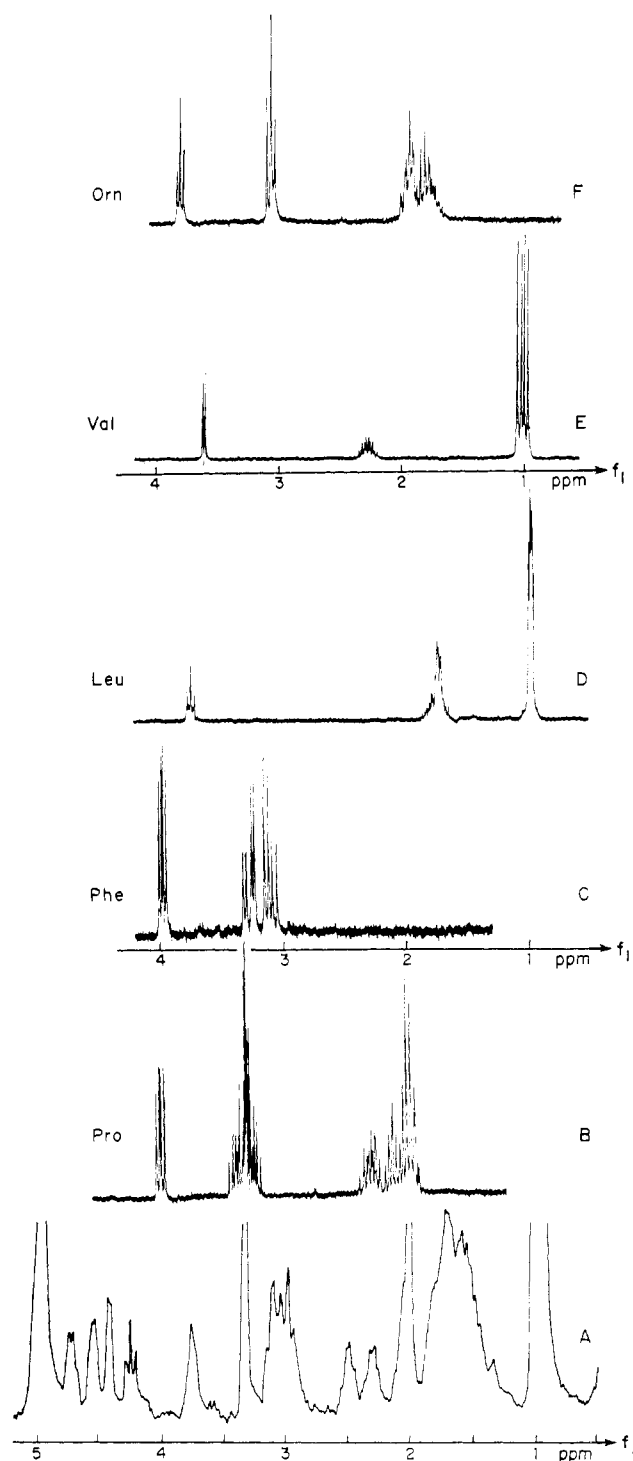


FIGURE 1: Comparison of the normal side-chain spectrum of the polypeptide gramicidin S-A in  $\text{CD}_3\text{OD}$  (A) with the normal side-chain proton spectra of the constituent amino acids in  $\text{D}_2\text{O}$  (B-F). The spectra were taken at 220 MHz at a temperature of  $21^\circ$ . The internal standards were tetramethylsilane (TMS) in  $\text{CD}_3\text{OD}$  and 2,2-dimethyl-2-silapentane-5-sulfonate (DSS) in  $\text{D}_2\text{O}$ . Approximate assignments of side-chain proton transitions in the polypeptide can be made by comparison to the spectra of the free amino acids.

intensity of the monitored transition at  $f_1$  when the second (decoupling) field at  $f_2$  either perturbs no transition at all or perturbs transitions which do not have an energy level in common with the transition at  $f_1$ . If the observed ( $f_1$ ) and perturbed ( $f_2$ ) transitions have an energy level in common,

then a "progressive" connection (Kaiser, 1963; Anderson *et al.*, 1963)<sup>1</sup> leads to a positive indor signal while a "regressive" connection leads to a negative indor signal.

The efficacy of indor spectroscopy is based on the principle that because each amino acid residue in a polypeptide is an isolated spin system, there is no coupling between the protons of one residue and those of another. Therefore, indor spectroscopy can be used to identify mutually coupled protons in a single amino acid residue. *In other words, indor spectroscopy permits great simplification of the entire polypeptide spectrum, the study of single residue spectra, and the revelation of hidden chemical shifts and coupling constants, thereby simplifying the problem of spectral analysis and, hence, of conformational analysis.* Tertiary conformation which has so far resisted analysis by "conventional" nmr methods is amenable to analysis based on indor spectroscopy.

### Experimental Section

All indor spectra were taken on a Bruker HX-90 nmr spectrometer utilizing an internal lock. The lock frequency ( $f_0$ ), monitoring frequency ( $f_1$ ), and the double irradiation frequency ( $f_2$ ) are all stable to better than 0.1 Hz, a necessary requirement of indor spectroscopy. The  $f_1$  and  $f_2$  channels can be swept individually. Spin-tickling and total decoupling experiments are performed by setting  $f_2$  on a particular spectral line (transition) and sweeping the monitoring channel  $f_1$ . Indor experiments are performed by setting  $f_1$  on a particular line in the pmr spectrum and sweeping the decoupling channel  $f_2$  through the rest of the spectrum. Only those transitions in the spectrum having an energy level in common with the transition(s) being monitored at  $f_1$  appear in the indor spectrum. Great spectral simplifications therefore result. Care is taken to insure that  $f_1$  power is below saturation and that the  $f_2$  power is less than that utilized for spin-tickling experiments. Experimental methodology and the origins of negative and positive lines of indor spectra have been summarized by others (Kaiser, 1963; Anderson *et al.*, 1963).

Cross-relaxation effects, which could lead to spurious indor signals, are eliminated (a) by utilizing an extremely weak perturbing field (of less strength than that required for spin tickling) and (b) by allowing slow transit of the perturbing field through the spectrum (sweep rate  $\leq 0.2$  Hz/sec).

All chemical shifts ( $\delta$ 's) are downfield from the standard.

### Results and Discussion

We shall make a logical progression from a study of a side-chain proton region in section I to a study of a  $\text{C}_\alpha$  proton region in section II to a concluding study of an amide proton region in section III.

*I. Reducing the Complexity of the Side-Chain Proton Region of a Polypeptide Pmr Spectrum.* Figure 1A shows part of the normal 220-MHz pmr spectrum of the cyclic decapeptide antibiotic gramicidin S-A in  $\text{CD}_3\text{OD}$ . Because the molecule has  $\text{C}_2$  symmetry, only five different amino acid residues contribute to this spectrum; the normal individual spectra of the five constituent amino acids in  $\text{D}_2\text{O}$  are shown in Figures 1B-F. It is possible from Figure 1 to assign the individual  $\text{C}_\beta$  and  $\text{C}_\gamma$  side-chain protons of the peptide by

<sup>1</sup> In a progressive connection, the common energy level lies intermediate between the two levels that are not in common, while in a regressive connection, the common energy level lies either above or below both of the other two levels.

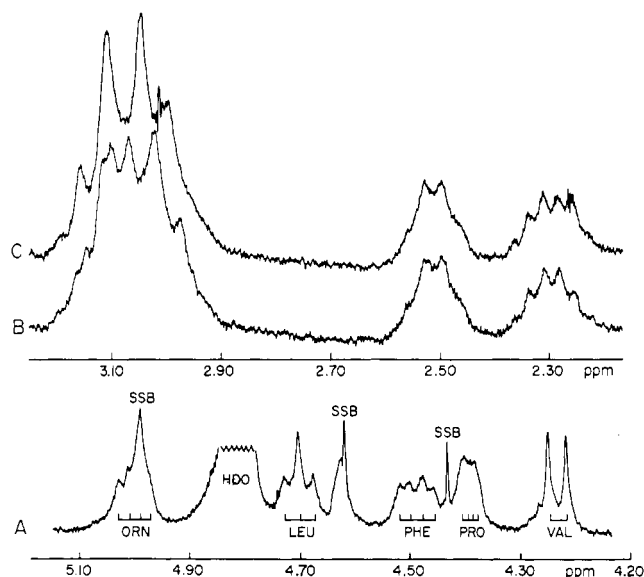


FIGURE 2: The assignment of a  $C_{\alpha}$  proton transition of the phenylalanine residue in gramicidin S-A by conventional spin-decoupling.<sup>2</sup> The concentration was 50 mg/ml, the spectra were taken at 250 MHz, the solvent was  $CD_3OD$ , the temperature was  $21^{\circ}$ , the internal standard was TMS, and the amide protons were preexchanged for deuterium. Spectrum A shows the normal  $C_{\alpha}$  proton region. Spectrum B shows the side-chain proton region between 2.2 and 3.2 ppm *without decoupling*. Spectrum C is the same as spectrum B, but *with decoupling* set as shown in spectrum A at 4.48 ppm, which corresponds to the center of the  $C_{\alpha}$  proton multiplet of the phenylalanine residue.

a comparison to the spectra of the various constituent amino acids. This procedure cannot be used to assign the  $C_{\alpha}$  or amide protons of the spectrum. The chemical shifts of the latter protons, associated with the backbone of the polypeptide, seem much more sensitive to conformation. This point led initially to wrong assignments of the gramicidin S-A spectrum (Liquori and Conti, 1968). The later assignments of the  $C_{\alpha}$  and amide protons (Stern *et al.*, 1968) were made by spin decoupling. Figure 2 shows an example of the assignment of the  $C_{\alpha}$  proton of the phenylalanine residue in gramicidin S-A by decoupling from the  $C_{\beta}$  protons, which were assigned by comparison of the peptide and phenylalanine pmr spectra; in this experiment, the solvent was  $CD_3OD$  and the spectra were taken at 250 MHz. Spectrum B collapsed to spectrum C (N. B. The AB quartet from the two  $C_{\beta}$  protons centered at 2.97 ppm) when the  $C_{\alpha}$  proton at 4.5 ppm (spectrum A) was irradiated. Thus, it can be discerned that in polypeptides such as gramicidin S-A, spin decoupling permits the assignment of the  $C_{\alpha}$  protons.

Several limitations of spin decoupling become more pronounced as this technique is applied to polypeptides with increasing numbers of amino acid residues. These limitations arise as follows. (a) Individual proton transitions in a particular amino acid residue become progressively more obscured by overlap from other transitions in other residues as molecular size increases. Therefore, it becomes progressively more difficult to assign the  $C_{\beta}$  protons which are needed as the basis for the assignment of the  $C_{\alpha}$  protons. (b) In order

<sup>2</sup> These spectra were recorded at the Carnegie-Mellon University 250 MHz NMR Facility for Biomedical Studies. We are grateful to Dr. J. Dadok, Dr. A. Bothner-By, and Mr. P. Balaram for their kind cooperation.

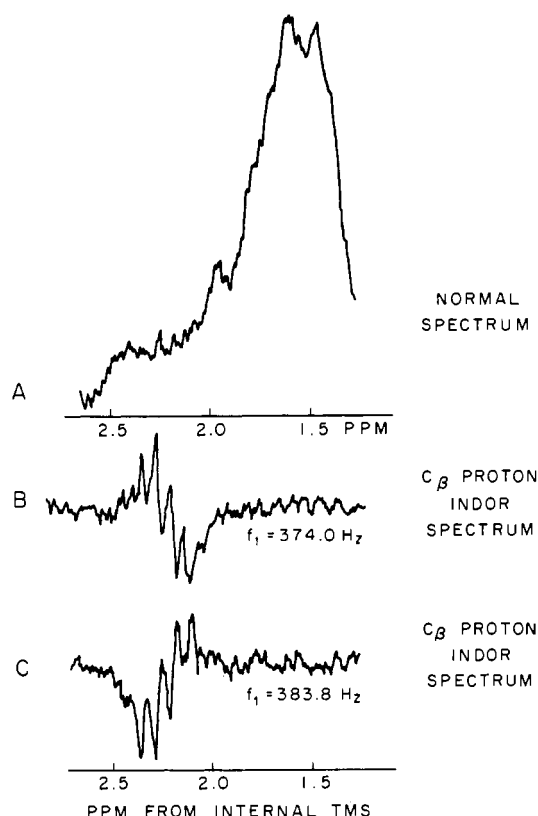


FIGURE 3: A comparison of the normal (A) and indor (B and C) proton spectra of gramicidin S-A in  $CD_3OD$  at 90 MHz. The temperature was  $27^{\circ}$  and the internal standard was TMS. Spectrum A is the normal pmr spectrum of only those protons whose chemical shift is between 1.0 and 2.5 ppm. Indor spectra B and C were obtained by monitoring the intensity of  $C_{\alpha}$  proton transitions with  $f_1$  at 374.0 and 383.8 Hz, respectively, while sweeping the decoupling field ( $f_2$ ) from 240.0 to 120.0 Hz (2.67–1.33 ppm, respectively) through the  $C_{\beta}$  proton region.

to perturb the pmr spectrum significantly with the decoupling field, it is sometimes necessary to use power of such strength that several different transitions may be perturbed simultaneously. Therefore, as molecular size increases, it becomes more difficult to make correct proton assignments, because those transitions which are coupled cannot be identified with great certainty.

Because spin tickling utilizes less power than spin decoupling, fewer transitions are perturbed simultaneously. Therefore, assignments of lines in a complex spectrum of a polypeptide can be made with more certainty when spin tickling, rather than spin decoupling, is utilized. Nevertheless, in general, spin tickling still suffers the disadvantages outlined above for spin decoupling (Hoffman and Forsén, 1966).

Figure 3A shows the normal 90-MHz side-chain pmr spectrum of gramicidin S-A in the region from 1.0 to 2.5 ppm. The sample was dissolved in  $CD_3COOD$  to exchange completely the amide protons for deuterium in order to reduce the complexity of the  $C_{\alpha}$  proton region. Figure 3B,C shows two proton indor spectra in which  $C_{\alpha}$  proton transitions at 374.0 and 383.8 Hz, respectively, are monitored. A comparison of the two indor spectra to the normal spectrum reveals a great reduction in complexity, *viz.*, that although the chemical shift multiplets of the  $C_{\beta}$  and  $C_{\gamma}$  protons of leucine, the  $C_{\beta}$  and  $C_{\gamma}$  methylene protons of both proline and ornithine, and the  $C_{\beta}$  proton multiplets of the valine residue occur between 90 and 225 Hz (1.0 and 2.5 ppm, re-

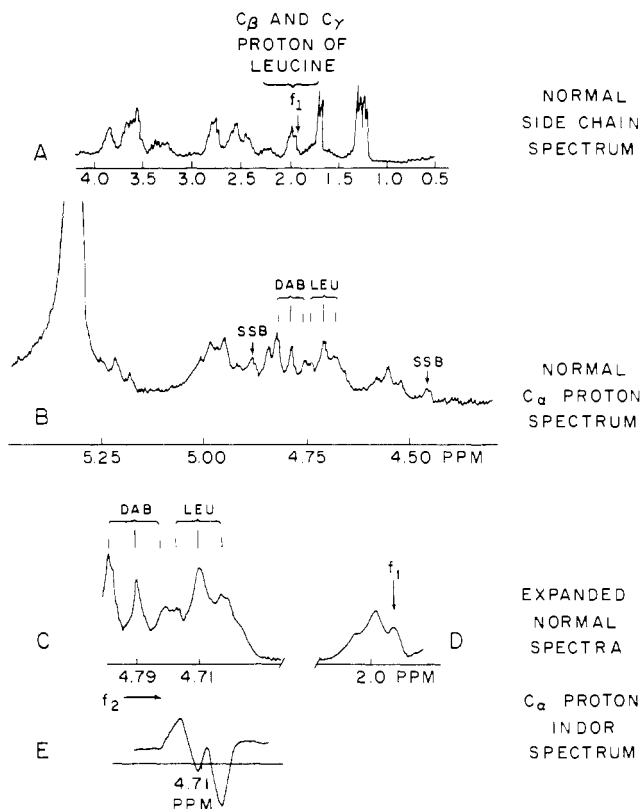


FIGURE 4: Assignment of the  $C_\alpha$  proton transitions of leucine in the ring fragment of polymyxin  $B_1$ , and reduction of the whole  $C_\alpha$  proton region from seven  $C_\alpha$  proton residues to the single residue leucine. Spectra A–D were taken at 220 MHz, the solvent was  $D_2O$  at pD 2.0, the temperature was  $21^\circ$ , and the external standard was TMS. DAB refers to the residue diaminobutyric acid which appears in the normal, but not in the indor spectra. SSB refers to a spinning side band. Spectra A and B are normal side chain and normal  $C_\alpha$  proton spectra, respectively. Spectra C and D are expanded portions of the  $C_\alpha$  and side-chain proton regions, respectively. Spectrum E is a  $C_\alpha$  proton indor spectrum obtained at 90 MHz by monitoring the intensity of a  $C_\beta$  proton transition with  $f_1$  at 180.0 Hz while sweeping  $f_2$  through the  $C_\alpha$  proton region. N.B. The chemical shift scales are not corrected for bulk magnetic susceptibility.

spectively) in Figure 3A, only the latter multiplet appears in Figure 3B,C. Furthermore, these indor spectra firstly confirm that the two monitored frequencies ( $f_1$ 's) correspond to the  $C_\alpha$  proton doublet of valine and secondly reveal the precise chemical shift of the valine  $C_\beta$  proton which was hidden in Figure 3A. First-order analysis of the indor spectra reveals that  $\langle {}^3J_{\alpha\beta} \rangle = 8.6$  Hz and  $\langle {}^3J_{\beta\gamma} \rangle = 6.8$  Hz for protons in the valine residue.

**II. Reducing the Complexity of the  $C_\alpha$  Proton Region of a Polypeptide Pmr Spectrum.** Figure 4A,B shows the normal 220-MHz pmr spectra of the side chain and  $C_\alpha$  proton regions of the pmr spectrum of the cyclic heptapeptide ring fragment of the antibiotic polymyxin  $B_1$  in  $D_2O$  at pD 2.0. Expanded portions of these regions are shown in Figure 4C,D. Approximate assignments of the  $C_\beta$ ,  $C_\gamma$ , and  $C_\delta$  side-chain protons of this molecule are again easily made by comparison of the polypeptide spectrum to the spectra of the constituent amino acids. In particular, the unique  $C_\beta$  and  $C_\delta$  proton multiplet of the leucine side chain centered at 2.00 ppm is identified with reasonable certainty by inspection. By monitoring the intensity of one of the  $C_\beta$  proton transitions of this multiplet with the  $f_1$  channel (on the Bruker

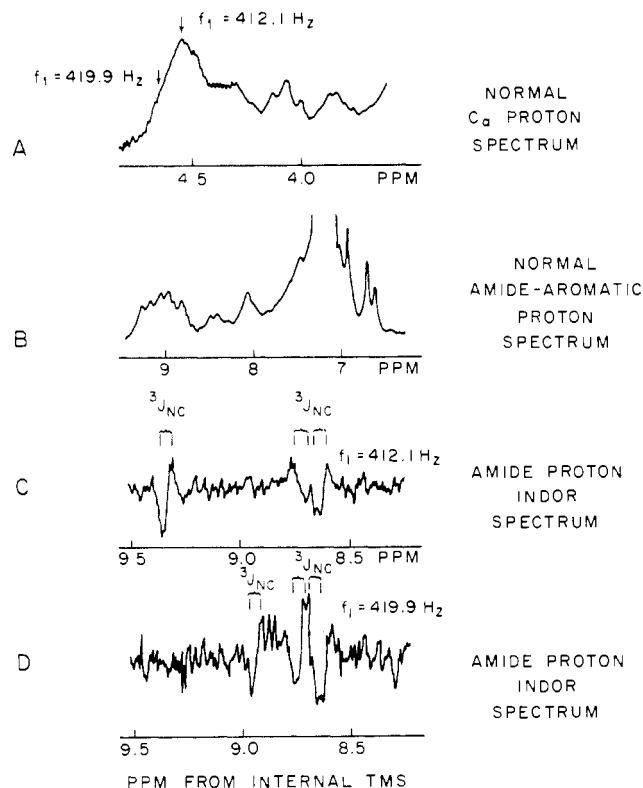


FIGURE 5: A reduction of the complexity of the amide-aromatic proton region of tyrocidin A in dimethyl sulfoxide- $d_6$  ( $DMSO-d_6$ ) at 90 MHz. The temperature was  $27^\circ$  and the internal standard was TMS. Spectra A and B are normal  $C_\alpha$  and normal amide-aromatic proton spectra, respectively. Indor spectra C and D were obtained by monitoring  $C_\alpha$  proton transitions with  $f_1$  at 412.1 and 419.9 Hz, while sweeping  $f_2$  through the amide-aromatic proton region.

HX-90 instrument) set at 180.0 Hz the entire  $C_\alpha$  proton region, swept by channel  $f_2$ , is reduced to that shown in Figure 4E. This unequivocally identifies the  $C_\alpha$  multiplet centered at 4.71 ppm, as belonging to the leucine residue of the polymyxin  $B_1$  ring. Thus, out of the seven  $C_\alpha$  proton multiplets of the polymyxin  $B_1$  ring, one obtains only the leucine residue  $C_\alpha$  proton indor spectrum (Bockman, 1971). The other assignments in the  $C_\alpha$  region of this molecule were made by both indor and conventional spin-decoupling techniques and will be reported in full in a later publication.

The most important point with which to conclude this section is that the role of the  $C_\alpha$  and  $C_\beta$  proton transitions in this experiment (Figure 4) are reversed from those in the previous experiment (Figure 3), i.e., in this experiment a  $C_\alpha$  proton multiplet is revealed by monitoring a particular  $C_\beta$  proton transition with channel  $f_1$  and sweeping the  $C_\alpha$  proton region with the decoupling field  $f_2$ , while in the previous experiment a  $C_\beta$  proton multiplet is revealed by monitoring a particular  $C_\alpha$  proton transition and sweeping the  $C_\beta$  proton region with the decoupling field.

**III. Reducing the Complexity of the Amide Proton Region of a Polypeptide Pmr Spectrum.** The normal 90-MHz pmr spectrum of the amide-aromatic proton region of the cyclic decapeptide antibiotic tyrocidin A in dimethyl sulfoxide- $d_6$  ( $Me_2SO-d_6$ ) is shown in Figure 5B. It consists of a superposition of resonances from the 9 amide protons, 15 phenyl protons, 4 tyrosine aromatic protons, 3 ornithine amino protons, and the 4 amide protons from the side chains of as-

paragine and glutamine. The 10 overlapping  $C_\alpha$  proton multiplets are shown in Figure 5A. By monitoring  $C_\alpha$  proton transitions with channel  $f_1$  at 412.1 Hz, the entire amide proton region swept by channel  $f_2$  is reduced to the three amide proton doublets shown in Figure 5C. By setting the monitoring frequency ( $f_1$ ) at 419.9 Hz, one of these multiplets disappears and is replaced by another multiplet shown in Figure 5D. Thus, by doing only two indor experiments, it was possible to reduce the pmr spectrum from that of 35 protons, as shown in Figure 5B, to that of two indor spectra of three protons each, as shown in Figure 5C,D. These latter indor spectra again reveal the precise chemical shifts of four amide protons and the coupling constant,  $^3J_{NC}$ , between each amide and  $C_\alpha$  proton and demonstrate the power of indor for reducing the complexity of the amide region and making assignments of resonances previously hidden. A more detailed analysis of this spectrum of tyrocidin A will be published soon. The value of  $^3J_{NC}$ , in conjunction with conformational energy calculations (Gibbons *et al.*, 1970), can be used to ascertain the dihedral angle  $\phi$ , one of the determinants of secondary (backbone) structure.

### Conclusion

Homonuclear indor spectroscopy, a double-resonance technique, has been compared to spin decoupling and spin tickling as a means of simplifying and analyzing pmr spectra of polypeptides and shown to be superior to these conventional double-resonance techniques. By monitoring a particular transition in the  $C_\alpha$  proton region, one obtains a great reduction in the number of lines in both the side-chain and the amide proton regions of the pmr spectra. Hidden chemical shifts are precisely revealed in indor spectra and the coupling constants between the  $C_\alpha$  and the side-chain protons and between the amide and  $C_\alpha$  protons are easily evaluated. It is precisely the coupling constant between the amide and  $C_\alpha$  protons, in conjunction with energy calculations (Gibbons *et al.*, 1970), that is required in order to determine the secondary (backbone) structure of individual amino acid residues in polypeptides. Additional coupling constants necessary to determine tertiary (side-chain) structure of individual amino acid residues can be found (a) by monitoring the various  $C_\beta$  proton transitions in order to obtain the  $C_\alpha$  and  $C_\gamma$  proton indor spectra and (b) by monitoring the various  $C_\gamma$  proton transitions to obtain the  $C_\beta$  and  $C_\delta$  proton indor

spectra. Of course, the overall conformation of a polypeptide is merely the sum of the individual conformations of the constituent amino acid residues.

In an extension (Gibbons *et al.*, 1972) of this work, we have shown that an amino acid can be uniquely classified according to the spin system of the  $C_\alpha$  and side-chain protons and that indor spectroscopy can be used (a) to obtain characteristic individual amino acid residue spectra based on the proton spin system classification and (b) to obtain the coupling constants between side-chain protons, even when the spin systems are nonfirst order. In fact, we have shown that homonuclear indor spectroscopy serves as a *better* basis than normal pmr spectroscopy for the spectral analysis of amino acids with non-first-order spin systems. These observations form the basis for the use of both homonuclear and heteronuclear indor spectroscopy in the complete definition of polypeptide conformation.

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